

PTO/SB/06-09-04  
 Approved for execution on 07/21/2006. Class 025, 026, 027  
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 Under the Patent Act, Public Law 96-513, no patent is issued for an invention that is not a "new and useful" process, machine, article of manufacture, or composition of matter, or a new and useful improvement thereof.

**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: John et al.

Application No./Patent No.: n/a Filed/Issue Date: n/a

Entitled: **SMOKING ARTICLES AND SMOKABLE FILLER MATERIAL THEREFOR**

British American Tobacco (Investments) Limited, company incorporated under the laws of England & Wales  
 (Name of Assignee) (Type of Assignee, e.g., corporation, partnership, diversity, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest of

2. ☐ an assignee of less than the entire right, title, and interest. The extent (by percentage) of its ownership interest is 4%

In the patent application/patent identified above by virtue of either:

A. ☒ An assignment (from the inventor(s)) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel          Frame         , or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s) of the patent application/patent identified above, to the current assignee as shown below:

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☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08.

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee:

Lucy Elizabeth Henderson 03/28/2006  
 Signature Date  
Lucy Elizabeth Henderson 44-23-50753727  
 Printed or Typed Name Telephone Number  
Assistant Company Secretary  
 Title

This collection of information is required by 37 CFR 3.22(b). The information is required to establish that the invention is not in the public domain, to file and to file with the USPTO to process the application. Confidentiality is provided for 35 U.S.C. 302 and 37 CFR 1.41 and 1.42. This collection is authorized to take 12 minutes to complete, including reviewing, preparing, and submitting the completed application form to the USPTO. This will vary depending on the individual case. Any information or response to this survey is to be used only for the purpose of determining the burden of this collection of information. If you have any comments or suggestions for reducing this burden, please send them to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1150, Alexandria, VA 22313-1150. DO NOT SEND RESPONSES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Communications for Patents, P.O. Box 3450, Alexandria, VA 22313-3450.

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